

# KELLEE S. TSAI

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The Hong Kong University of Science & Technology  
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## EDUCATION:

**COLUMBIA UNIVERSITY, New York, New York**  
**Graduate School of Arts and Sciences**  
Ph.D. in Political Science, May 1999; M.Phil., February 1996  
Major: Comparative Politics; Minor: International Relations  
Dissertation Title: *BANKING BEHIND THE STATE: Private Entrepreneurs and the Political Economy of Informal Finance in China, 1978-1998*

**School of International and Public Affairs**  
M.I.A. (Master of International Affairs), 5-yr Joint-Degree Program, May 1990  
Specializations: International Political Economy and East Asia  
Primary Research: "The Political, Economic, and Legal Implications of Taiwan's Application to GATT" (A+)

**Barnard College**  
B.A., May 1989 Major: Political Science (5-year BA/MIA Joint-Degree candidate)  
Senior Thesis: "The Implications of Political and Economic Liberalization in Taiwan for Mainland-Taiwan Relations" (A+)

**HARVARD UNIVERSITY, Graduate School of Arts and Sciences, Cambridge, MA, 1997-99**  
**PRINCETON UNIVERSITY, Beijing Normal University, Beijing, China, Summer 1994**  
**OXFORD UNIVERSITY, St. Anne's College, Oxford, England, Spring 1988**

## FELLOWSHIPS & GRANTS:

Hong Kong, Research Grants Council, General Research Fund (GRF) grant, PI, #16601219 (2020-22)  
Hong Kong, Research Grants Council, General Research Fund (GRF) grant, co-I, #16602319 (2020-21)  
Hong Kong, Research Grants Council, General Research Fund (GRF) grant, PI, #16600617 (2018-20)  
Hong Kong, Research Grants Council, General Research Fund (GRF) grant, PI, #16602617 (2018-19)  
Hong Kong, Research Grants Council, General Research Fund (GRF) grant, PI, #16602916 (2016-18)  
Hong Kong, Research Grants Council, General Research Fund (GRF) grant, PI, #16401414 (2014-18)  
Institute for Emerging Market Studies, HKUST grant (2014-17)  
Henry Luce Foundation Grant (2011-16)  
Benjamin and Rhea Yeung Center for Collaborative China Studies (2012-13)  
Johns Hopkins Provost Discovery Grant (2009-2015)  
National Science Foundation (NSF) International Research Fellowship, #0107326 (24 months, 2001-05)  
Ford Foundation Public Policy Grant (with Wang Xiaoyi) (2000-02)  
Institute for Comparative and International Studies, Emory Faculty Grant (1999-2000)  
Harvard Academy for International and Area Studies Pre-Doctoral Fellowship (1997-99)  
Fulbright-Hays Doctoral Dissertation Research Abroad Fellowship (1996-97)  
Committee on Scholarly Communication with China Fellowship (CSCC/ACLS) (1996-97)  
Columbia University Department of Political Science Graduate Fellowship (1994-99)  
Ford Foundation Pre-Dissertation Research Grant (Summer 1994)  
Mortimer B. Zuckerman Fellowship (1993-94)  
Taiwan Area Studies Fellowship (1990)  
Columbia University Deans' Fellowship (1989-90)  
George Welwood Murray Graduate Fellowship for Excellence in Political Science (1989)

## OTHER

### HONORS:

Ph.D. Dissertation nominated for the 2000 APSA Best Dissertation Award in Political Economy  
Winner, *Journal of International Affairs* Andrew Cordier Essay Competition (1995-96)  
Five-Year B.A./M.I.A. Joint Degree Program (1988-90)  
Phi Beta Kappa (1989); *magna cum laude* (1989)  
Barnard College Department of Political Science Honors (1989); Distinction in Senior Thesis (1989)  
Phoebe Morrison Memorial Prize for Excellence in Political Science (1989)

## PUBLICATIONS:

Books

*Evolutionary Governance in China: State-Society Relations under Authoritarianism*, co-edited with Szu-chien Hsu and Chun-chih Chang (Cambridge: Harvard Asia Center, Harvard University Press, forthcoming 2021).

*State Capitalism, Institutional Adaptation and the Chinese Miracle*, co-edited with Barry Naughton (New York: Cambridge University Press, 2015).

*CAPITALISM WITHOUT DEMOCRACY: The Private Sector in Contemporary China* (Ithaca: Cornell University Press, 2007). Chinese translation from Zhejiang People's Publishing House, Hangzhou, China, 2013.

*Japan and China in the World Political Economy*, co-edited with Saadia Pekkanen (New York: Routledge, 2005).

*Nongyehua yu minjian jinrong: Wenzhou de jingyan* [Rural industrialization and informal finance: Wenzhou's experience], co-authored with Wang Xiaoyi and Li Renqing (Taiyuan: Shanxi jingji chubanshe, 2004).

*BACK-ALLEY BANKING: Private Entrepreneurs in China* (Ithaca: Cornell University Press, 2002; paperback in 2004). Chinese translations published by the Center for Contemporary China Series, National Tsing Hua University, Taipei, Taiwan, 2007; and Zhejiang People's Publishing House, Hangzhou, China, 2013.

Peer-reviewedArticles & Chapters

"Reverse Entrepreneurial Migration in China and India: The Role of the State," (co-authored with David Zweig and A. Didar Singh), *World Development* (forthcoming).

"Social Remittances of Keralans in Neoliberal Circulation," in S. Irudaya Rajan, ed., *Indian Migration Report 2020* (New Delhi: Routledge, forthcoming 2020).

"Structural Power, Hegemony, and State Capitalism: Limits to China's Relative Economic Power," *Politics & Society* (August 2020).

"Charitable Crowdfunding in China: An Emergent Channel for Setting Policy Agendas?" (co-authored with Qingyan Wang), *China Quarterly* (December 2019): 936-966.

"Inter-governmental Vertical Competition in China's Urbanization Process," (co-authored with Warren Wenzhi Lu), *Journal of Contemporary China* 28, 115 (2019): 99-117.

"Rescaling State-Society Relations in China and India," in Prasenjit Duara and Elizabeth Perry, eds., *Beyond Regimes: China and India Compared* (Cambridge, MA: Harvard University Press, 2018), Ch. 7, 257-293.

"When Shadow Banking Can be Productive: Financing Small and Medium Enterprises in China," *Journal of Development Studies* 53, 12 (2017): 2005-2028.

"Institutional Adaptability in China: Local Developmental Models Under Changing Economic Conditions," (co-authored with Xiaoxiao Shen), *World Development* 87 (November 2016): 107-127.

"The External is Incidental: Asia's Sovereign Wealth Funds and the Shaping of the Santiago Principles," (co-authored with Saadia M. Pekkanen), in Saadia M. Pekkanen, ed., *Asian Designs: Governance in the Contemporary World Order* (Ithaca, NY: Cornell University Press, 2016), 75-92.

“Cosmopolitan Capitalism: Local State-Society Relations in China and India,” *Journal of Asian Studies* 75, 2 (May 2016): 335-361.

“Adaptive Informal Institutions,” in Tulia Falleti, Orfeo Fiorettes, and Adam Sheingate, eds., *Oxford Handbook of Historical Institutionalism* (New York: Oxford University Press, 2016), 270-287.

“The Political Economy of State Capitalism and Shadow Banking in China,” *Issues & Studies* 51, 1 (March 2015): 55-97. Condensed version reprinted in Proceedings of the Japan Association for Chinese Economy and Management Studies, *中國經濟研究* 12, 1 (March 2015): 12-19.

“China: Economic Liberalization, Adaptive Informal Institutions, and Party-State Resilience,” in Stephan Leibfried, Frank Nullmeier, Evelyne Huber, Matthew Lange, Jonah Levy & John Stephens, eds., *Oxford Handbook of Transformations of the State* (New York: Oxford University Press, 2015), 654-672.

“The China Dream: Tigers, Flies, and Other Challenges to Economic Reform,” in Chih-Shian Liou and Arthur S. Ding, eds., *China Dreams: China's New Leadership and Future Impacts* (Singapore: World Scientific Publishing Co., 2015), 171-196.

“The Evolution of Foreign Direct Investment in Asia,” (co-authored with Saadia M. Pekkanen), in Saadia Pekkanen, John Ravenhill, and Rosemary Foot eds., *Oxford Handbook of International Relations* (New York: Oxford University Press, 2014), 327-347.

“China's Political Economy and Political Science,” *Perspectives on Politics* 11, 3 (September 2013): 860-871. Chinese translation in *Journal of Foreign Theoretical Trends* (May 2014), published by the PRC Central Compilation and Translation Bureau.

“Cause or Consequence? Private Sector Development and Communist Resilience in China,” in Martin Dimitrov, ed., *Why Communism Didn't Collapse: Understanding Regime Resilience in China, Vietnam, Laos, North Korea, and Cuba* (New York: Cambridge University Press, 2013), 205-234.

“The Politics of Ambiguity in Asia's Sovereign Wealth Funds,” (co-authored with Saadia M. Pekkanen) *Business and Politics* 13, 2 (August 2011).

“The Local Politics of Restructuring State-Owned Enterprises in China,” (co-authored with Jin Zeng), in Jean Oi, ed., *Corporate Restructuring in China: The Need for Institutional Change* (Washington D.C.: The Brookings Institution Press, 2011), 39-69.

“Comparing China's Capitalists: Neither Democratic nor Exceptional,” in Scott Kennedy, ed., *Beyond the Middle Kingdom: China in Comparative Perspective* (Stanford: Stanford University Press, 2011), 136-158.

“Friends, Family, or Foreigners? The Political Economy of Diasporic FDI and Remittances in China and India,” *China Report* 47, 2 (May 2010): 387-429.

“The Formal Origins and Consequences of Adaptive Informal Institutions: Insights from Private Sector Development in China,” in Richard F. Donor, ed., *Explaining Institutional Innovation: Case Studies from Latin America and East Asia* (New York: Social Science Research Council, 2010), 83-105.

“Informal Finance and Private Sector Development in China,” in Li Jianjun and Sara Hsu, eds., *Informal Finance in China: American and Chinese Perspectives* (Oxford: Oxford University Press, 2009), 80-103.

“Los orígenes formales y las consecuencias de las instituciones informales adaptativas: Visión sobre el desarrollo del sector privado en China,” in Richard F. Donor, ed., *Innovación y construcción institucional: Latinoamérica y el Este de Asia* (Barcelona: Icaria Editorial, October 2007).

“Adaptive Informal Institutions and Endogenous Institutional Change in China,” *World Politics* 59 (October 2006): 116-141.

“Imperfect Substitutes: The Local Political Economy of Informal Finance and Microfinance in Rural China and India,” in Richard Baum and Manoranjan Mohanty, eds., *Rural Governance and Social Change in China and India* (Dehli: Sage Publications, 2006). [Reprint of 2004 *World Development* article]

“Debating Decentralized Development: A Reconsideration of the Wenzhou and Kerala Models,” *Indian Journal of Economics and Business* (Special Issue: India and China 2006): 47-67. [Reprinted in Penelope B. Prime and Kishore G. Kulkarni, eds., *Economic Development in India and China: New Perspectives on Progress and Change* (New Dehli: Serials Publications, 2007), 293-319.]

“Capitalists without a Class: Political Diversity among Private Entrepreneurs in China,” *Comparative Political Studies* 39 (November 2005): 1130-1158.

“Late Liberalizers: Comparative Perspectives on Japan and China,” co-authored with Saadia Pekkanen, in Saadia Pekkanen and Kellee Tsai, eds., *Japan and China in the World Political Economy* (New York: Routledge, 2005).

“Developmental Dilemmas in China,” co-authored with Sarah Cook, in Saadia Pekkanen and Kellee Tsai, eds., *Japan and China in the World Political Economy* (New York: Routledge, 2005).

“The Persistence of Informal Finance,” in Edward Friedman and Bruce Gilley, eds., *Asia’s Giants: Comparing China and India* (New York: Palgrave, 2005).

“Imperfect Substitutes: The Local Political Economy of Informal Finance and Microfinance in Rural China and India,” *World Development* 32, 9 (September 2004): 1487-1507.

“Off Balance: The Unintended Consequences of Fiscal Federalism in China,” *Journal of Chinese Political Science* 9, 2 (Fall 2004): 7-26.

“Locating the Local State in China (Before it Turns into Another Cause in Search of its Effect),” *Politologiske Studier* 6, 2 (September 2003): 71-82.

“State Ideology and Women in Rural China,” in Rekha Datta and Judith Kornberg, eds., *Women in Developing Countries: Assessing Strategies for Empowerment* (Boulder, CO: Lynne Rienner, 2002).

“Factionalism in China from a New Institutional Perspective,” with Andrew Nathan in Jonathan Unger, ed., *The Nature of Chinese Politics, From Mao to Jiang* (Armonk, NY: M.E. Sharpe, 2002).

“Banquet Banking: Gender and Rotating Savings and Credit Associations in South China,” *The China Quarterly*, No. 161 (March 2000): 143-170.

“Curbed Markets? Financial Innovation and Policy Involution in China’s Coastal South,” *Weatherhead Center for International Affairs Working Paper Series*, No. 98-6, Harvard University, May 1998.

“Women and the State in Post-1949 Rural China,” *Journal of International Affairs*, vol. 49, no. 2 (Winter 1996), 493-524. Winner of the Andrew Wellington Cordier Essay Competition.

“Factionalism: A New Institutional Restatement,” *The China Journal*, vol. 34 (July 1995): , co-author with Andrew J. Nathan.

### Commissioned

“Fintech and Financial Inclusion in China,” *HKUST Institute for Emerging Market Studies Thought Leadership Brief* 20 (November 2017).

“The Rise of Shadow Banking in China: The Political Economy of Modern Chinese State Capitalism,” *HKUST Institute for Emerging Market Studies Thought Leadership Brief 10* (August 2015).

“The State of China’s Economic Miracle,” *Asia Policy* 20 (July 2015): 143-68. [Lead article in book review roundtable on Nicholas Lardy, *Markets over Mao: The Rise of Private Business in China*]

“Microfinancing,” *Encyclopedia of Modern China* (Farmington Hills, MI: Charles Scribner’s Sons, 2009).

“Marketization without Democratization in China,” *eJournal USA* (June 2008).

“Communism with Capitalist Characteristics,” *Insights on Law and Society* (Spring 2008).

“China’s Complicit Capitalists,” *Far Eastern Economic Review* (January/February 2008).

“Teaching the Unknown,” *American Political Science Association Comparative Politics Newsletter* (Summer 2002).

“A Circle of Friends, A Web of Trouble: Rotating Credit Associations in China,” *Harvard China Review*, vol. 1, no. 1 (Summer 1998).

*Institution Building in Microfinance*, monograph commissioned by Women’s World Banking and UNDP for the International Donors Committee on Small Enterprise and the Working Group on Financial Sector Development, New York, September 1996, co-author with Nancy Barry.

### Book Reviews

Victor C. Shih, *Factions and Finance in China: Elite Conflict and Inflation* (New York: Cambridge University Press, 2008), in *Perspectives on Politics* 6, 4 (December 2008): 851-852.

Vivienne Shue and Christine Wong, eds., *Paying for Progress in China: Public Finance, Human Welfare and Changing Patterns of Inequality* (New York: Routledge Contemporary China Series, 2007) for *Journal of Asian Studies* (2007).

Christopher Findlay, Andrew Watson, Cheng Enjiang, and Zhu Gang, eds., *Rural Financial Markets in China* (Canberra: Asia Pacific Press of the Australia National University) for the *Journal of Asian Business*, vol. 20, no. 2 (2005).

“Continuities in Autocratic Surveillance in Modern China?” *Journal of International Affairs*, vol. 49, no. 2 (Winter 1996).

“Review of Judy Yung’s *Unbound Feet*,” *China Information*, vol. 10, nos. 3/4 (Winter 1995/Spring 1996).

“Competing Security Agendas in Post Cold-War Europe,” *Journal of International Affairs*, vol. 47, no. 2, (Winter 1994).

### CONFERENCES:

“Chinese Studies in the New Era,” Association for Asia Studies (AAS) 2020 Annual Conference, Boston, MA, March 19-22, 2020. [Cancelled due to COVID-19]

“Opportunities and Challenges in Studying China’s Political Economy,” American Political Science Association (APSA) Annual Meeting, Washington D.C., September 1, 2019.

“Research Methods in India China Studies,” NYU-Shanghai, January 10, 2019.

“Charitable Crowdfunding in China: An Emergent Channel for Setting Policy Agendas?” International Workshop on Governance Innovation in China, University of Duisburg-Essen, Duisburg, Germany, January 26-28, 2018.

“Co-Ethnic Capital in Coastal China and India: The Developmental Diasporas of Guangdong and Kerala,” International Consortium of Chinese Studies (ICCS), Centre for Economic Research, Mannheim, Germany, June 20-21, 2017.

“Elite Returnees in Beijing and Bangalore: Information Technology and Beyond,” Dissemination Workshop on Transnational Migration in Transition: Transformative Characteristics of Temporary Migration, European Union Project, Centre for Development Studies, Thiruvananthapuram, Kerala, January 23-24, 2017.

“Moving Markets and Money: Zhejiang and Gujarat’s Transnational Traders,” UCLA-CUHK-HKUST Workshop on Migration Studies, Chinese University of Hong Kong, December 6-7, 2016.

“Moving Markets and Money: Zhejiang and Gujarat’s Transnational Traders,” Social Science Research Council (SSRC)’s InterAsian Connections V: Seoul Workshop on “Genealogies of Financialization: Reframing Sovereignty in Asia (1600-present),” Seoul National University, April 27-30, 2016.

“Mixed Embeddedness: Elite Returnees in Beijing and Bangalore,” 3<sup>rd</sup> Annual Research Symposium on China’s Private Entrepreneurs, Chinese Academy of Social Sciences (CASS), Beijing, December 5-6, 2015.

Roundtable on State-Owned Enterprises, Duke Center for International and Comparative Law, Duke University, Durham, North Carolina, December 4-5, 2015 (invited & declined).

Keynote speaker, “State-Society Relations in China: Reflections on the State of the Field,” Conference on “Interactive Governance and Authoritarian Resilience: Evolving State-Society Relations in China,” Center for Contemporary China, Academia Sinica, Taipei, Taiwan, November 13-14, 2015.

Discussant for Ora John Reuter and Noah Buckley, “Performance Incentives under Autocracy: Evidence from Russia’s Regions,” Workshop on Comparative Studies of Regional Governance in China and Russia,” HKUST Institute for Emerging Market Studies, Hong Kong, September 24-25, 2015.

“Elite Returnees in Beijing and Bangalore: Information Technology and Beyond,” International Consortium of Chinese Studies (ICCS), Oxford University, August 6-7, 2015.

“Comparative Models of Financial Inclusion,” International Forum on Financial Inclusion, Central University of Finance and Economics, Beijing, June 6, 2015.

“Comparative Models of Financial Inclusion: Country Cases [Discussant],” Workshop on Financial Inclusion, Institute for Emerging Market Studies, HKUST, May 20-21, 2015.

“China and the Study of Comparative Politics,” Conference on “Tang Tsou and the Analysis of Chinese Politics,” Zhongshan University, Guangzhou, December 11-12, 2014.

“State Capitalism and Shadow Banking in China,” Symposium on “State and Entrepreneurs in China,” Japanese Association for Chinese Economy and Management Studies (JACEM), University of Tokyo, Tokyo, November 8-9, 2014.

“Cosmopolitan Capitalism: Local State-Society Relations in China and India,” Center for Chinese Studies (CCS), University of Michigan, Ann Arbor, Michigan, October 17-18, 2014.

“Informal Institutions and Historical Institutionalism: Explaining Economic Reform in China,” Paper prepared for the Annual Meeting of the American Political Science Association (APSA), August 28-31, 2014.

“The Local State and Capital in China and India,” Paper prepared for the Asia Research Institute-Harvard Yenching Institute “Changing State in Asia Conference,” National University of Singapore, Singapore, May 30-31, 2014.

“Enhancing the China Market in Comparative Politics,” Center for Comparative Politics, Fudan University, Shanghai, May 16-17, 2014.

“China’s Political Economy in the Study of Comparative Politics,” International Consortium of Chinese Studies (ICCS) at the National School of Development, Peking University, Beijing, China, April 20-21, 2014.

“The Politics of State Capitalism and Shadow Banking in China,” Paper prepared for the Johns Hopkins-National Chengchi University Center for China Studies conference, Taipei, Taiwan, March 18-19, 2014.

“China Dreams and Economic Reform Challenges,” Paper prepared for the 42<sup>nd</sup> Taiwan-American Conference on Contemporary China, Institute of International Relations (IIR), National Chengchi University (NCCU), Taipei, Taiwan, December 5-6, 2013.

“Comparing China’s Capitalists: Neither Democratic nor Exceptional,” Association for Asian Studies, Honolulu, HI, April 1-2, 2011.

“Institutional Ambiguity in Asia’s Sovereign Wealth Funds,” Paper prepared for the International Studies Association Conference, New Orleans, February 17-20, 2010.

“Diasporic Networks and Development in China and India,” Paper prepared for the China-South Asia Forum, New Dehli, India, December 4-5, 2009.

“Friends, Family, or Foreigners? The Political Economy of FDI and Remittances in China and India,” Paper prepared for the American Political Science Association Conference, Toronto, Canada, September 3-5, 2009.

“The Great Socialist Transformation: Capitalism without Democracy in China,” Society for the Study of Advanced Socioeconomics (SASE), Paris, France, July 16, 2009.

“Finance: The Chinese Context, 1978-2008,” Comparative Perspectives in Business History, Milan, Italy, October 16-18, 2008.

“Dependent Development or Disguised Domestic Diasporas? The Political Economy of Foreign Direct Investment in China,” Paper prepared for the American Political Association Conference, Boston, MA, August 28-31, 2008.

“How Foreign is FDI in China?” Paper prepared for the conference, “What’s Land Got to do With it: Global Lessons About the Optimal Level of Control Over Property and Land Use,” Harvard Academy for International and Area Studies, Harvard University, September 21, 2007.

“Cause or Consequence? Private Sector Development and Communist Resilience in China,” Paper prepared for the conference on Why Communism Didn’t Collapse: Exploring Regime Resilience in China, Vietnam, Laos, North Korea, and Cuba,” Dartmouth College, May 25-26, 2007.

“Private Sector Development and Privatization in China,” [co-authored with Jin Zeng] Paper prepared for the conference on Corporate Restructuring in East Asia, Stanford University, June 24-26, 2006.

“The Formal Origins of Informal Institutions: Insights from Private Sector Development in China,” Paper prepared for the workshop on Creating Institutions for Development, SSRC and University of Havana, Cuba, June 22-24, 2006.

“Adaptive Informal Institutions and Private Sector Development in China,” Paper prepared for the conference on Capitalism with Chinese Characteristics, University of Indiana, May 18-20, 2006.

“Changing China: Private Entrepreneurs and Adaptive Informal Institutions,” Paper prepared for the 2004 Annual Meeting of the American Political Science Association, September 1-4, 2005.

“Converting Communism: Political Implications of Private Sector Development in China,” Harvard Academy for International and Area Studies Alumni Conference, Harvard University, March 10-12, 2005.

“Two-Level Lessons: Policy Learning and Financial Sector Reforms in China,” Paper prepared for the Workshop on WTO Compliance in China: The Lessons of Regulatory Effectiveness, Georgetown Law Center, Georgetown University, November 3-5, 2004.

“Debating Local Development: A Reconsideration of the Wenzhou and Kerala Models,” Paper prepared for the 2004 Annual Meeting of the American Political Science Association, September 2-5, 2004.

“Regime Durability and Endogenous Institutional Change: Private Sector Development in China,” Paper prepared for the 2004 Annual Meeting of the American Political Science Association, September 2-5, 2004.

“Coping by Innovating: The Formal Origins and Consequences of Informal Institutions,” Paper prepared for the Annual Meeting of the American Political Science Association, Philadelphia, August 27-31, 2003.

“Imperfect Substitutes: The Local Political Economy of Informal Finance and Microfinance in China and India,” Paper prepared for the Conference on Local Governance in India and China: Rural Development and Social Change, Calcutta, India, January 6-8, 2003.

“Local Logics: Informal Finance and Private Sector Development in China,” Paper prepared for the workshop on Asian Political Economy in an Age of Globalization, Center for Asia and Emerging Economies, Tuck School of Business at Dartmouth College, May 10-12, 2002.

“Developmental Dilemmas in China,” (co-authored with Sarah Cook) Paper prepared for the workshop on Japan and China in the World Political Economy, Washington D.C., April 5, 2002.

“Beyond Banks: The Local Logic of Informal Finance and Private Sector Development in China,” Paper prepared for the conference on Financial Sector Reform in China, co-sponsored by the China Public Policy Program at the Kennedy School of Government, Harvard Business School, and Massachusetts Institute of Technology, Cambridge, MA, September 11-13, 2001.

“Locating the Local State in Reform-Era China (Before it Becomes Another Cause in Search of its Effect,” Paper prepared for the Workshop on the Local State in Reform-Era China, co-sponsored by the UCLA Center for Chinese Studies and Harvard University's Kennedy School of Government, Los Angeles, June 8-10, 2001.

“Off Balance: Fiscal Federalism and the Rise of Extra-Budgetary and Informal Finance in China,” Paper prepared for the 2000 Annual Meeting of the American Political Science Association, “Taxing States in Atypical Federalist Systems” panel (organized by self), Marriot Wardman Park, August 31-September 3, 2000.



“Surveying Microentrepreneurs in China: Practical and Methodological Challenges,” Paper prepared for the Surveying China conference, Sigur Center for Asian Studies, George Washington University, Washington D.C., June 9-10, 2000.

“A Divided Class: The Politics of Private Enterprise and Self-Employment in China,” Paper presented at the 52<sup>nd</sup> Annual Meeting of the Association for Asian Studies (AAS), San Diego, CA, March 9-12, 2000. Co-organizer of panel, “The Changing Conditions and Strategies of Laid-Off Workers in China.”

## INVITED SPEAKING ENGAGEMENTS:

Moderator, “Adaptation to Historical and Contemporary Disruptions,” *Navigating a World of Disruption Webinar Series*, co-hosted by HKUST and National University of Singapore, July 15, 2020.

Panelist and Moderator, “Higher Education in Hong Kong during Covid-19,” Public Intellectuals Program, National Committee on US-China Relations, Zoom Webinar, June 18, 2020.

Host, “Road to Success in Graduate School,” SHSS & IPO, HKUST, Zoom Webinar, June 13, 2020.

Co-host and Moderator, “AI and Governance,” Center for AI Research (CAiRE), HKUST, Zoom Webinar, June 12, 2020.

Panelist, “Roundtable on the Political Constraints to Economic Growth in Asia,” Harvard-Yenching Institute, March 24, 2020 [*Cancelled due to COVID-19*]

Seminar speaker, “Charitable Crowdfunding in China: An Emergent Channel for Setting Policy Agendas?” Department of Political Science, National Taiwan University, July 17, 2019.

Discussant, “Global Markets, Political Economies, and Infrastructures,” French Centre for Research on Contemporary China (CEFC), Chinese Global Engagements Abroad, HKUST, July 5, 2019.

Guest lecturer, “State and Non-State Players in China’s Economic Growth,” Teaching China in India Training Workshop, Harvard-Yenching Institute and United Board, Christ University, Bangalore, June 1, 2019.

Keynote speaker, “Charitable Crowdfunding in China: An Emergent Channel for Setting Policy Agendas?” Christ University, Bangalore, May 30, 2019.

Discussant, He Yuen’s Methodology Chapter, *Methods in India China Studies*, NYU Shanghai, January 10, 2019.

Panelist, State of the Field in Chinese Politics Workshop, Stanford University, December 17, 2018.

Seminar speaker, “Cosmopolitan Returnees in Beijing and Bangalore,” Center for Global Asia Lecture Series, NYU Shanghai, November 26, 2018.

Moderator, HKUST-International Committee of the Red Cross (ICRC) President-to-President Dialogue on “The Role of Asian Youths in Humanitarian Diplomacy,” HKUST, November 22, 2018.

Seminar speaker, “Co-Ethnic Capital in Coastal China and India: The Developmental Diasporas of Guangdong and Kerala,” Department of Sociology, Hong Kong Baptist University, November 2, 2018.

Panelist, “Roundtable on *China Perspectives* Special Issue: ‘New Approaches to the Political Regime under Xi Jinping,’” French Centre for Research on Contemporary China (CEFC) and Faculty of Social Sciences, University of Hong Kong, October 23, 2018.

Panelist, “Role of Humanities and Social Science in AI Research,” Center for Artificial Intelligence, HKUST, September 21, 2018.

Moderator, José Ramos-Horta, “Challenges in the Protection of the Rights of Refugees and Migrants,” co-sponsored by the Institute for Advanced Study, Interdisciplinary Programs Office, Institute of Public Policy, and School of Humanities and Social Science, September 7, 2018.

Moderator, “Women in Innovation,” HKUST International Women’s Day Forum, March 7, 2018.

Lecturer, “Growth under Socialism, 1949-1978” and “Economic Reform, 1978-present,” Faculty Workshop on Teaching about China in India Colleges, Christ University, Bangalore, January 7, 2018.

Featured Speaker, “Startups and the State,” Young China Watchers – Hong Kong, November 21, 2017.

Keynote speaker, “On the Way to the Silk Road: Trade, Investment and Finance in Emerging Economies,” Central University of Finance and Economics and Society for the Study of Emerging Markets, Beijing, October 13-14, 2017 (scheduled).

Seminar speaker, “Co-Ethnic Capital in Coastal China and India: The Developmental Diasporas of Guangdong and Kerala,” Business History Seminar, Harvard Business School, Cambridge, MA, September 25, 2017.

- Commentator, "Participatory Autocracy: Private Entrepreneurs, Legislatures, and Property Protection in China," Yue Hou Book Workshop, Department of Political Science, University of Pennsylvania, Philadelphia, March 24, 2017.
- Panelist, Comments on film screening of "She Objects," Division of Social Science, Women's Faculty Association, and Center for the Arts, HKUST, March 8, 2017.
- "Elite Returnees in Beijing and Bangalore: Information Technology and Beyond," Centre for Development Studies, Thiruvananthapuram, Kerala, January 23, 2017.
- Roundtable Panelist, "Engaging Regime Resilience in China: Perspectives from History, Political Science, and Journalism," French Centre for Research on Contemporary China, Hong Kong, June 22, 2016.
- Keynote speaker, "When Shadow Banking Can Be Productive: Financing SMEs in China," Shadow Banking and Alternative Finance in China Workshop, University of Sydney, May 27, 2016.
- "Capitalism without Democracy in China," Public Lecture, China Studies Centre, Development and Transformation Research Cluster, University of Sydney, May 26, 2016.
- Discussant, Genealogies of Financialization: Reframing Sovereignty in Asia (1600-present), Social Science Research Council (SSRC), Seoul National University, Korea, April 27-30, 2016.
- Moderator, "The State at the Center," International Conference on the Governance of China, New York Review of Books (NYRB) and Hong Kong University, Hong Kong, January 15-16, 2016.
- "Comparative Politics and China's Political Economy," Institute of Advanced Studies in Humanities and Social Sciences, Zhejiang University, January 5, 2016.
- "Comparative Models of Financial Inclusion," Zhejiang University of Finance and Economics, January 4, 2016.
- Keynote speaker, 3<sup>rd</sup> Annual Research Symposium on China's Private Entrepreneurs, Chinese Academy of Social Sciences (CASS), Beijing, December 5-6, 2015.
- "Comparative Politics and China's Political Economy," School of International Studies, Renmin University, December 4, 2015.
- Keynote speaker, "State-Society Relations in China: Reflections on the State of the Field," Conference on "Interactive Governance and Authoritarian Resilience: Evolving State-Society Relations in China," Center for Contemporary China, Academia Sinica, Taipei, Taiwan, November 13-14, 2015.
- Discussant, Political Systems and Incentives panel, Comparative Studies of Regional Governance in China and Russia, HSE ICSID – HKUST IEMS Joint Workshop, September 24, 2015, Hong Kong.
- "Comparative Models of Financial Inclusion," Keynote, Central University of Finance and Economics, Beijing, June 5, 2015.
- "State Capitalism and Shadow Banking in China," Center for Contemporary China Studies, National Tsinghua University, Taiwan, June 1, 2015.
- "Comparative Models of Financial Inclusion: Country Cases [Discussant]," Workshop on Financial Inclusion, Institute for Emerging Market Studies, HKUST, May 20-21, 2015.
- "State Capitalism and Shadow Banking in China," Public Lecture, Hong Kong University, April 29, 2015
- "Informal Institutions and Historical Institutionalism," National Taiwan University, Taipei, April 6, 2015
- "State Capitalism and Shadow Banking in China," 25<sup>th</sup> Anniversary of the French Centre for Contemporary China, Hong Kong, January 28, 2015
- "Comparative Politics and the Study of Chinese Politics," Zhongshan University, Guangzhou, December 10-11, 2014
- "Cosmopolitan Capitalism: Local State-Society Relations in China and India," New School for Social Research, India China Institute, New York, October 17, 2014
- "Shadow Banking: Beyond the Headlines," HKUST Business Insight Series, Hong Kong, October 7, 2014
- "Political Economy of State Capitalism and Shadow Banking in Contemporary China," Institute for Emerging Market Studies, HKUST, October 6, 2014
- Chair and Discussant, Panel on "Explaining State Intervention and State Capacity in the Indian and Chinese Economies," Comparative Politics of Developing Countries Section, Annual Meeting of the American Political Science Association (APSA), August 28, 2014
- "The Local State and Capital in China and India," National University of Singapore, May 30, 2014
- "Enhancing the China Market in Comparative Politics," Keynote, Fudan University, Shanghai, May 17, 2014
- "China's Political Economy in the Study of Comparative Politics," International Consortium of Chinese Studies (ICCS) at the National School of Development, Peking University, China, April 20, 2014
- "The Politics of State Capitalism and Shadow Banking in China," Centre for China Studies, Chinese University of Hong Kong, Shatin, Hong Kong, April 3, 2014

- “The Politics of State Capitalism and Shadow Banking in China,” National Chengchi University, Taipei, March 18, 2014
- “Developmental Diasporas in China and India: A Reconsideration of Conventional Capital,” National School of Development, Peking University, December 15, 2013
- “Contemporary Social Science Research on China,” Center for Contemporary China Studies, National Tsinghua University, Taipei, October 18, 2013
- “Developmental Diasporas in China and India: A Reconsideration of Conventional Capital,” School of Oriental and Asian Studies, University of London, November 15, 2012
- “Developmental Diasporas in China and India: A Reconsideration of Conventional Capital,” London School of Economics, November 14, 2012
- “Developmental Diasporas in China and India: A Reconsideration of Conventional Capital,” Hong Kong University of Science & Technology, May 17, 2012
- “Political Economy of Inequality in Reform-era China,” Prosperity amidst Poverty and Inequality: A Symposium on China and India, New School for Social Research, New York, April 28, 2012
- “Developmental Diasporas in China and India: A Reconsideration of Conventional Capital,” University of British Columbia, Vancouver, April 13, 2012
- “Post-Communist States and Institutional Change,” Oxford Handbook on Transformations of the State, UNC Chapel Hill, February 23-24, 2012
- China Strategy Meeting, National Endowment for Democracy, Washington DC, December 20, 2011
- “The Transformative Potential of Adaptive Informal Institutions,” Workshop on Institutional Change, MIT, February 5, 2011
- “Punctuated Disequilibrium: China and the Global Financial Crisis,” Workshop on the Global Financial Crisis, Cornell University, November 18, 2010
- “Politics of Ambiguity in Asian Sovereign Wealth Fund,” Institutionalizing Asia Workshop, University of Washington, Seattle, September 29, 2010
- “Global Commerce in the 21<sup>st</sup> Century: The Emergence of China,” Spring 2010 Symposium, Center for Global Commerce and Center for Growth Enterprises, McIntire School of Commerce, University of Virginia, April 23, 2010
- “China’s Private Sector: History and Prospects,” Foreign Service Institute, US Department of State, April 2010
- “Capitalism without Democracy in China,” Center for Chinese Studies, University of California-Berkeley, March 12, 2009
- “Diasporic Networks and Development in China and India,” China-South Asia Forum, New Dehli, India, December 4-5, 2009
- “The Great Socialist Transformation: Capitalism without Democracy in China,” Department of Political Science, Brown University, November 12, 2009
- “China since 1989,” Florida International University, October 15, 2009
- “The Great Socialist Transformation: Capitalism without Democracy in China [Featured Speaker],” Society for the Study of Advanced Socioeconomics (SASE), Paris, France, July 16, 2009
- “China’s Private Sector,” US Department of State, Foreign Service Institute, April 20, 2009
- “Capitalism without Democracy in China,” World Affairs Council of Central Illinois, Springfield, February 17, 2009
- “The Political Economy of Ethnic Chinese Foreign Direct Investment in China,” China International Business Seminar, Weatherhead East Asia Institute and the Jerome A. Chazen Institute of International Business, Columbia Business School, November 18, 2008
- “Informal Finance and Private Sector Development in China,” paper presented at the “China and the Business Miracle, 1978-2008” workshop, Milan, Italy, October 16-18, 2008
- “Dependent Development or Disguised Diasporas?” Chinese Politics Workshop, University of Wisconsin-Madison, May 8, 2008
- Roundtable speaker, “Public Intellectuals: Old Hands and the New Generation in China Studies,” Association for Asian Studies, Atlanta, April 5, 2008
- “Capitalism with Chinese Characteristics,” Johns Hopkins University Board of Trustees Committee on Academic Affairs Meeting, March 9, 2008
- “The Politics of Spatial Asymmetries of FDI in China,” Comparative Politics Seminar, University of Chicago, March 5, 2008
- “Capitalism without Democracy: Politics of Private Sector Development in China,” Department of Political Science, January 22, 2008

- Roundtable speaker, “Making Sense of a Changing China: A Dialogue between Academics and Journalists,” National Committee on US-China Relations and the Historical Society for Twentieth-Century China (American Historical Association), Washington D.C., January 3, 2008
- “Capitalism without Democracy: The Private Sector in Contemporary China,” Institute for Comparative and International Studies, Emory University, November 29, 2007
- “Microfinance in Comparative Perspective,” International Development Seminar, Emory University, November 29, 2007
- “Implications of ‘Authoritarian Capitalism’ in China,” Workshop on Strategic Responses to American Power, National Intelligence Council, Carnegie Endowment for International Peace, November 16, 2007
- “Capitalism without Democracy: The Private Sector in Contemporary China,” Council of Comparative Studies, American University, November 14, 2007
- “Time Management,” Fourth Annual Diversity Leadership Conference, Johns Hopkins University, November 1, 2007
- “Capitalism without Democracy: The Private Sector in Contemporary China,” Comparative Politics Seminar, Georgetown University, October 5, 2007
- “Celebration of Thomas Bernstein’s Career,” Department of Political Science and East Asian Institute, Columbia University, September 15, 2007
- “How Foreign is FDI in China?” Harvard Academy conference, Harvard University, September 21, 2007
- “Cause or Consequence? Private Sector Development and Communist Resilience in China,” Conference on Why Communism Didn’t Collapse: Exploring Regime Resilience in China, Vietnam, Laos, North Korea, and Cuba,” Dartmouth College, May 25-26, 2007
- Discussant, Sources and Methods in Chinese Politics conference, Center for Chinese Studies, University of Michigan, November 3-6, 2006
- “Capitalism without Democracy: Politics of Private Sector Development in China,” CEAS-Lauder Distinguished Lecturer, Center for East Asian Studies Seminar Series, University of Pennsylvania, October 9, 2006
- “Adaptive Informal Institutions and Private Entrepreneurs in China,” Comparative Politics Seminar, Columbia University, September 13, 2006
- “China’s Private Sector,” Orientation for Luce Scholars, Princeton University, August 23, 2006
- “China’s Financial System,” Testimony before the US-China Economic and Security Review Commission, US Senate Hearing, Washington D.C., August 22, 2006
- “China Rising,” Johns Hopkins Knowledge for the World Campaign, New York, May 6, 2006
- Discussant, Political Economy of Institutional Change panel, Association for Asian Studies, San Francisco, April 7, 2006
- Roundtable/workshop participant, China’s Emerging Financial Markets: Opportunities and Obstacles,” Transactional Studies Program, Columbia Law School, January 19, 2006
- “Private Entrepreneurs and the Power of Informal Institutions,” International Food Policy Research Institute, Washington D.C., September 13, 2005
- “Changing China: The Politics of Private Sector Development,” Department of Sociology, University of North Carolina-Chapel Hill, September 7, 2005
- Discussant, APSA panel on Political Institutions and Economic Policy Outcomes in China, Washington D.C., September 3, 2005
- “Converting Communism: Political Implications of Private Sector Development in China,” Harvard Academy for International and Area Studies, Harvard University, March 11, 2005
- “Capitalism without Democracy in China,” Department of Government, Cornell University, November 15, 2004
- “Capitalists without a Class,” Center for East Asian Studies, University of Chicago, January 13, 2004
- “Back-Alley Banking,” Department of Sociology, Johns Hopkins University, October 29, 2003
- “Private Finance in China,” Asia Center and Patterson School of Diplomacy and International Commerce, University of Kentucky, October 10, 2003
- “Back-Alley Banking,” Atlas Economic Research Foundation, New Orleans, April 24, 2003
- “Back-Alley Banking,” East Asian Institute, Columbia University, April 10, 2003
- “Creative Capitalism in China,” Johns Hopkins Convocation in D.C., March 22, 2003
- “Capitalists without a Class: The Political Orientation of Private Entrepreneurs in China,” Center for Chinese Studies, University of Michigan – Ann Arbor, March 11, 2003
- “Imperfect Substitutes,” Department of Political Science, Duke University, February 24, 2003

- “Capitalists without a Class: The Political Orientation of Private Entrepreneurs in China,” Center for East Asian Studies, Stanford University, January 14, 2003
- “The Social Participation of Private Entrepreneurs,” International Conference on Family Businesses, Jiang Han University, Wuhan, October 28, 2002
- “Back-Alley Banking,” New England China Seminar, Harvard University, October 2, 2002
- “Private Entrepreneurship: The Economy in Transition,” Senate Foreign Relations Committee, National Committee on US-China Relations, Carnegie Endowment for International Peace, and Stanford Asia/Pacific Research Center, “China in Transition: A Look Behind the Scenes,” Dirksen Senate Office Building, September 25, 2002
- “The Academic Job Market,” Johns Hopkins University, September 11, 2002
- “China’s Economic Growth,” Voice of America-China, radio interview, July 2002
- “Financing Private Entrepreneurs in China,” Voice of America-China, radio interview, June 4, 2002
- “Local Logics: Informal Finance and Private Entrepreneurs in China,” Center for Asia and the Emerging Economies, Tuck School of Business, Dartmouth College, May 11, 2002
- “Beyond Banks,” China Public Policy Program, Kennedy School of Government, Harvard Univ., September 11, 2001
- “Back-Alley Banking,” University Service Centre Luncheon Seminar, Chinese University of Hong Kong, Shatin, N.T., Hong Kong, August 6, 2001
- “Reasons for the Continued China-US Stand-off,” Interviewed by Alex DeMetrick, WJZTV Channel 13 (CBS) Eyewitness News, Baltimore, April 9, 2001
- “Microfinance in China,” Harvard International Development Conference, Kennedy School of Government, Harvard University, April 7, 2001
- Chair, Conflict and Cooperation Across State-Society Frontiers in Contemporary China Panel, Association for Asian Studies Conference, Chicago, March 23, 2001
- Chair, “China’s Role in the United Nations,” presentation by Ambassador Shen Guofang, PRC Representative to the United Nations, Johns Hopkins University, November 10, 2000
- Discussant, “Perverse Aid: The New Market for Foreign Assistance,” by Alexander Cooley and James Ron, Institute for Global Studies, Johns Hopkins University, October 19, 2000
- “China Watchers in the US and Sino-American Relations: The Case of Song Yongyi,” Forum on US China Relations, The Carter Center, April 7, 2000
- “The Impact of Economic Reform on Chinese Women,” Emory University, February 28, 2000
- “Banking Behind the State,” Johns Hopkins University, February 8, 2000
- “Banking Behind the State,” Yale University, December 2, 1999
- Moderator, Forum on China-Taiwan Relations, Emory University, November 19, 1999
- “Sino-US Relations,” Mid-Autumn Festival Program, Emory University, September, 24, 1999
- “Private Entrepreneurs and Informal Finance in China, 1978-1998,” East Asia Colloquium Series, Fairbank Center for East Asian Research, Harvard University, March 25, 1999
- “Banking on Bargains,” Harvard Academy for International and Area Studies, November 19, 1998
- Discussant, New England Association of Asian Studies panel on “Issues in Chinese Local Government,” Tufts University, October 1998
- “Banquet Banking: Microentrepreneurs and the Logic of Financial Institutional Development in China,” China Business Project Breakfast, Harvard Faculty Club, March 10, 1998

## **MEDIA EXPERIENCE:**

Interviewed by *Associated Press*, *Atlanta Constitution-Journal*, *Baltimore Sun*, *Bloomberg News* [Beijing], *China Development Brief* [Beijing], *China Economic Review* [Shanghai], *The Economist*, *International Herald Tribune*, *New York Times*, *Newsweek*, *Time Magazine*, *USA Today*, *U.S. World & News Report*, *Voice of America*, *Wall Street Journal*, *Washington Post*, and WJZTV (Baltimore NBC).

## **RESEARCH AFFILIATIONS:**

Distinguished Visiting Professor, Department of Comparative Politics, University of Bergen, 2018-  
Research Professor, Department of Political Science, Johns Hopkins University, 2016-19

Honorable Research Fellow, Center for Research on Private Entrepreneurs, CASS, Beijing, 2015-  
 Visiting Research Scholar, Chinese Academy for Social Sciences (CASS), Beijing, 2001-05  
 Academy Scholar, Harvard Academy for International and Area Studies, Cambridge, MA, 1997-99  
 Affiliate, Fairbank Center for East Asian Research, Harvard University, Cambridge, MA, 1997-99  
 Visiting Research Scholar, Fujian Academy of Social Sciences, Fujian, June 1994, 1996-97  
 Visiting Research Scholar, Chinese University of Hong Kong, Shatin, Hong Kong, 1996-97 (part-time)  
 Visiting Research Scholar, Henan Academy of Social Sciences, Henan, Summer 1996  
 Graduate Student Member, East Asian Institute, Columbia University, New York, 1989-90, 1993-99

#### TEACHING INTERESTS:

China in Comparative Perspective, Political Economy of Development, Theories of Comparative  
 Politics, Domestic Politics of Contemporary China, Politics of International Development,  
 Comparative Democratization, China and the Global Political Economy.

#### PROFESSIONAL SERVICE:

- Academic Council Member, Taipei School of Economics and Political Science, National Tsinghua University, Taipei (2020 - )
- Advisory Board Member, India China Institute, New School for Social Research, New York (2020 - )
- Association Member, Oxfam Hong Kong (2018 - )
- Committee member, Policy and Advocacy Committee, Oxfam Hong Kong (2017 - )
- Executive Committee, Political Economy Section, American Political Science Association (2016 - )
- International Advisory Board member, Center for Contemporary China Studies, National Tsinghua University, Taipei (2014 - )
- Editorial board member, *Chinese Sociological Review* (2015 - ), *China Policy Journal* (2016 - ), *AsiaGlobal Online* (2017 - ), *China Perspectives* (2018 - ), and *China Quarterly* (2020 - ).
- Editorial board member, Contemporary Issues in Asia and the Pacific Series, Stanford University Press, 2006-10; *Pacific Affairs* (2008-13). Invited to join *Comparative Politics* and *Politics and Society* editorial boards in 2010.
- Anonymous referee for the following journals: *American Journal of Political Science*, *American Political Science Review*, *Asia Policy*, *Asian Survey*, *Business and Politics*, *Crime, Law, and Social Change*, *China Journal*, *China Perspectives*, *China Quarterly*, *Comparative Politics*, *Comparative Political Studies*, *International Organization*, *Journal of Chinese Political Science*, *Journal of Contemporary China*, *Perspectives on Politics*, *Political Science Quarterly*, *Political Studies*, *Politologiske Studier*, *Review of International Political Economy*, *Studies in Comparative and International Development*, *World Politics*, and *World Development*.
- Anonymous referee for the following presses: Cambridge University Press, Congressional Quarterly, Cornell University Press, Harvard University Press, Routledge, Longman Publishers, Lynne Rienner Publishers, MIT Press, and Stanford University Press.
- External review member: Harvard University's Asia related centers and institutes (2015), Florida International University's School of International and Public Affairs' Asian Studies Program (2010).
- Anonymous referee for appointment and/or promotion cases at Academia Sinica, American University, Boston University, Chinese University of Hong Kong, Cornell University, Harvard Business School, Hong Kong Institute of Education, Hong Kong Polytechnic University, Hong Kong

University of Science & Technology, London School of Economics, University of Michigan-Ann Arbor, Mount Holyoke College, New School for Social Research, Northwestern University, Peking University, University of Oklahoma, University of Sydney, Temple University, University of Toronto, and University of Washington, St. Louis.

- External committee member for dissertation defenses at Australia National University, Chinese University of Hong Kong, Monash University, School of Advanced International Studies (SAIS, Johns Hopkins), University of Copenhagen, and University of Toronto.
- Proposal screener for the Social Science Research Council International Research Fellowship Program, 2003, 2004.
- Proposal reviewer for the Mellon Foundation/American Council of Learned Society's New Faculty Fellows Program, 2010, 2011, 2012.
- Proposal reviewer for the Hong Kong Research Grants Council, 2013, 2014
- Participant in World Bank/Consultative Group to Assist the Poorest (CGAP) Resource Persons Training Workshop, Washington D.C., June 2000
- Member of Carter Center Delegation to observe village elections in Hebei, China, January 2000

**UNIVERSITY  
SERVICE (at HKUST):**

Member, University Council (2019 - )  
 Member, Search Committee for Vice President for Institutional Advancement (2019 - )  
 Member, University Administrative Committee (UAC) 2018 - )  
 Member, Committee on Research Infrastructure (CORI) (2018 - )  
 Member, UAC Sub-committee on Continuing and Professional Education (SCCPE) (2018 - )  
 Head, Division of Social Science, School of Humanities and Social Science (2013-18)  
 Member, Executive Committee, School of Humanities and Social Science (2013- )  
 Associate Director, HKUST Center for Artificial Intelligence (2018 - )  
 Member, Search Committee, Founding Head, Division of Public Policy (2018)  
 Member, University Staff Grievance Standing Committee (2017-20)  
 Advisory Committee Member, HKUST Big Data Institute (2017-19)  
 Member, Search Committee, Division Head of Humanities (2017)  
 Member, Executive Committee, Institute for Public Policy (2016 - )  
 Member, Executive Committee, Institute for Emerging and Market Studies (2014-16)  
 Member, Honorary Awards Committee (2015-16)  
 Member, University Senate (2013- )  
 Member, Senate Research Committee (2013 - )  
 Member, Taskforce on Global China Studies Curriculum (2014-15)  
 Member, Taskforce on Research Postgraduate Education (2014 - )  
 Member, Taskforce on the Review of University's Policies Governing Research Ethics & Academic Integrity (2014-15)  
 Member, Taskforce on Operations of the Conference Tower (2014)  
 Member, Search Committee, Founding Director, Institute of Public Policy & Administration (2014)  
 Member, Organizing Committee, Women's Faculty Association (2013- )

**UNIVERSITY  
SERVICE  
(at Johns Hopkins & Emory):**

Member, Deans of Faculty Committee (2010-13)

Member, Provost's Distinguished Awards Committee (2010-13)  
 Chair, Ad hoc Committee for a candidate's promotion to tenured Associate Professor (2009-10)  
 Member, Search Committee, Dean of the Krieger School of Arts and Sciences (2009-10)  
 Chair, Search Committee, Comparative Political Economy of Europe (2009)  
 Member, Advisory Board of the Language Teaching Center (2009-13)  
 Director of East Asian Studies Program, Johns Hopkins University (2008-10)  
 Director of Undergraduate Studies for Political Science, Johns Hopkins University (2007-08)  
 Member, Committee on East Asian Studies, Johns Hopkins University (2004-13)  
 Faculty Interviewer, Fulbright Fellowship Program, 2004  
 Member, Search Committee, Charles Miller Chair in East Asian Politics, 2003-04  
 Member, Award Committee, Julius Turner and Joshua Tucker Awards for Best Senior Thesis in Political Science and International Studies, Spring 2002  
 Member, Admissions Committee, Woodrow Wilson Scholarship Program, Johns Hopkins, 2002  
 Member, Selection Committee, Robins Internship Program, Johns Hopkins, 2001-03, 2006  
 Member, Johns Hopkins All-University China Seminar, 2000-2013  
 Representative for Arts & Sciences, Faculty Advisory Committee to the Sheridan Libraries, 2000- 10  
 Member, Committee on Women, Gender, and Sexuality, Johns Hopkins, Spring 2001  
 Member, Department of Political Science Graduate Admissions, 2001-13  
 Comparative Politics Search Committee, Dept. of Political Science, Emory University, Fall 1999  
 Comparative Politics Field Comprehensive Exam Committee, Emory University, Fall 1999  
 Comparative Political Economy Development, and Public Policy Field Comprehensive Exam Committee, Emory University, Fall 1999  
 Coordinator, Forum on China-Taiwan Relations, Emory University, Fall 1999  
 Undergraduate Studies Committee, Dept. of Political Science, Emory University, 1999-2000  
 East Asian Discussion Group, Emory University, 1999-2000  
 Development Studies Group, Emory University, 1999-2000  
 Group Leader, Ford Foundation Workshop on Research Methods in the Developing World, 1994-5

## PROFESSIONAL MEMBERSHIPS:

Hong Kong General Chamber of Commerce (2013 - )  
 National Committee on US-China Relations, Board Member (2008-13)  
 National Committee on US-China Relations Public Intellectuals Program (2005-present)  
 American Political Science Association (1994-present)  
 Association for Asian Studies (1995-present)  
 China Business Project (Fairbank Center, Harvard University, 1997-9)  
 China International Business Relations Project (Columbia University, 1990-1, 1993-5)

## EMPLOYMENT:

2013 - **HONG KONG UNIVERSITY OF SCIENCE & TECHNOLOGY, Hong Kong**  
**CHAIR PROFESSOR** [since 2015], **PROFESSOR** [2013-15]

**DEAN, SCHOOL OF HUMANITIES AND SOCIAL SCIENCE** [2018 - ]

- Serve as the chief academic and administrative officer of a school with two multi-disciplinary divisions in the humanities and social sciences, and a Center for Language Education.
- Provide leadership in strategic planning, vision, resource management, and developing new initiatives and international partnerships for the School.

**HEAD, DIVISION OF SOCIAL SCIENCE** [2013-15]

- Serve as chief executive to an interdisciplinary social science division, with responsibility for strategic direction, faculty affairs (recruitment, promotion, retention, retirement), resource management, and curriculum organization.
- Teach courses on China's political economy in comparative context.



2000 - 2016

**JOHNS HOPKINS UNIVERSITY, Baltimore, Maryland****PROFESSOR [2007-2016], ASSOCIATE PROFESSOR [2006-07], ASSISTANT PROFESSOR OF POLITICAL SCIENCE [2000-2006]**

- Teach undergraduate and graduate courses in comparative politics, with a focus on Chinese politics, political economy of development, and comparative democratization.
- Advise Political Science, International Studies, and East Asian Studies majors; advise senior theses; advise graduate students; and serve on dissertation and dissertation proposal defenses.

**DIRECTOR, EAST ASIAN STUDIES PROGRAM [2008-10]**

- Established an East Asian Studies (EAS) Speaker Series; research and conference travel grants for undergraduate and graduate students; an EAS Honors' Senior Thesis track; weekly language corners in Chinese, Japanese, and Korean; an annual EAS newsletter; and an EAS Student Advisory Committee in 2008-09.
- Facilitated the introduction of eight new courses in EAS in 2008-2009.
- Raised funds to introduce twelve new courses by visiting faculty in 2009-2011.
- Led application for Provost's Framework for the Future Discovery Grant on behalf of East Asian Studies. Granted \$50,000 in 2009 to conduct feasibility study on the establishment of a technical Chinese language program called "Hopkins China-STEM" at the Hopkins-Nanjing Center.
- Granted \$390,000 from the Henry R. Luce Foundation for establishment of a China-STEM language and research program at in Nanjing, China.
- Worked with university development on proposal that resulted in a \$10 million gift to establish the and Rhea Yeung Center for Collaborative China Studies. Granted \$115,000 from the Yeung Center to establish an undergraduate study abroad program at Nanjing University in fall 2012

**VICE DEAN, HUMANITIES AND SOCIAL SCIENCES [2010-13]**

- Worked closely with the dean of the Krieger School of Arts and Sciences and other vice deans in a school-wide strategic planning process that commenced in fall 2010.
- In close collaboration with the dean, serve as the Vice Dean of Faculty to fourteen departments in the humanities and social sciences. Duties included representing the dean in approving faculty searches; assisting in faculty recruitment; coordinating junior faculty mentoring; negotiating faculty retention; approving dossiers for tenure and promotion for consideration by the Academic Council; approving faculty research and medical leaves; coordinating rotation of departmental chairmanships; and discussing retirement options with faculty upon their request.
- Coordinated internal selection process for various competitions, including the ACLS New Faculty Fellows Program, Mellon Foundation New Directions Fellowship, NEH Summer Stipend Fellowship, School for Critical Theory, among others.
- Facilitated Islamic Studies Working Group to identify areas for potential cluster hiring across departments.
- Facilitated Film & Media Studies Working Group to leverage intellectual synergies across various programs and departments.
- Drafted capital campaign documents for the Krieger School.
- Co-chaired search committee for the Senior Associate Dean for Finance & Administration.
- Represented the school at various university-level and public events.
- Raised funds to support two post-doctoral fellows, two graduate teaching fellowships, six visiting faculty, and research workshops for the East Asian Studies program.

2000 - 2001

**THE WORLD BANK, Washington D.C.****CONSULTANT, CONSULTATIVE GROUP TO ASSIST THE POOREST (CGAP)**

- Serve on on-site technical training team to ensure high quality delivery of CGAP Microfinance Training courses at the China Microfinance Center in Beijing.

1999 - 2000

**EMORY UNIVERSITY, Atlanta, Georgia****ASSISTANT PROFESSOR OF POLITICAL SCIENCE [tenure track]**

- Taught undergraduate courses in comparative politics and Chinese politics.

**1992 - 1997**

**WOMEN'S WORLD BANKING, New York, New York**

**CONSULTANT**

- Provided advisory services on a potential WWB strategy in China, including on-site inspection of various Grameen Bank replications in northern China.
- Analyzed survey administered to the International Coalition on Financial Sector and Private Enterprise Development, and members of the International Donors' Committee on Small Enterprise and the Working Group on Financial Sector Development. Draft of report on the institutional strengthening of financial intermediaries on behalf of UNDP and WWB.
- Negotiated arrangements with the Chinese government for WWB's delegation to the 1995 Fourth World Conference on Women and NGO Forum in Beijing/Huairou.

**DEVELOPMENT AND COMMUNICATIONS COORDINATOR**

- Interacted with governmental aid agencies, multilateral development banks, and international organizations to encourage their support of WWB in close collaboration with the President.
- Drafted and issued grant proposals and progress reports to funding partners.
- Participated in structuring new financial products and services.
- Coordinated WWB's first financial management program in Kenya.

**1990 - 1992**

**MORGAN STANLEY & CO., INC., New York, New York**

**FINANCIAL ANALYST**

**CAPITAL MARKETS / MERGERS & ACQUISITIONS, STRATEGY DEVELOPMENT**

- Developed, marketed, and executed financial instruments, including tax-efficient restructuring of foreign assets and cross-border tax arbitrage, with emphasis on preferred stock products.
- Executed over \$900 mil. in straight preferred stock issues and \$700 mil. in auction preferred stock.
- Analyzed multinational corporations for potential mergers, acquisitions, initial public offerings, spin-offs, and joint ventures.
- Conducted comprehensive review of a financial buyer's \$5.6 billion portfolio, which yielded over \$1 billion in refinancing and initial public offering business.

**OTHER:**

Classical pianist (performed at Carnegie Recital Hall three times between 1982 and 1984). Native speaker of Taiwanese. Advanced level of Mandarin Chinese. Intermediate knowledge of French, German, and Latin. Field experience in Asia, Europe, Middle East, and Africa.

*References available upon request.*